

## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Jacques DEFAYE et al.

Conf.

Application No. **NEW NATIONAL PHASE**

Group

Filed September 28, 2005

Examiner

NOVEL CYCLODEXTRIN DERIVATIVES, METHOD FOR THE PREPARATION THEREOF  
AND USE THEREOF FOR THE SOLUBILIZATION OF PHARMACOLOGICALLY ACTIVE  
SUBSTANCES

**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION CONCURRENT WITH THE**  
**FILING OF A NEW PATENT APPLICATION)**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 28, 2005

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of  
the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby  
submit(s) an Information Disclosure Statement for consideration by  
the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for  
consideration by the Office are listed on PTO-1449, attached  
hereto.

II. COPIES

- ☐ Copies of the U.S. patents or publications are not submitted  
since the USPTO has waived their submission for applications  
filed after June 30, 2003.
- ☒ Submitted herewith is a legible copy of (i) each foreign  
patent; (ii) each publication or that portion which caused it  
to be listed; and (iii) all other information or that portion  
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- ☐ This application is a National Phase of a PCT application.  
Some or all of the documents listed on the PTO-1449 are not  
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Report and copies should have been forwarded from the  
International Search Authority pursuant to the trilateral  
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please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE **JDUS Rec'd PCT/PTO 28 SEP 2009**  
 (check at least one box)

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached non U.S. patents, non U.S. patent application publications, foreign publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An foreign language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

**FEES**

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

*Benoit Castel*

Benoit Castel, Reg. No. 35,041  
 745 South 23<sup>rd</sup> Street  
 Arlington, VA 22202  
 Telephone (703) 521-2297  
 Telefax (703) 685-0573  
 (703) 979-4709

BC/yr

Enclosures: ☒ Form PTO-1449(s)  
☒ Documents  
☒ Foreign Search Report  
☐ Other: \_\_\_\_\_

(Use several sheets if necessary)

## NEW NATIONAL PHASE

**Jacques DEFAYE et al.**

**September 28, 2005**

Group Art Unit:

[illegible]

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/33919	9/18/1997	INTERNATIONAL				
	WO 99/45032	9/10/1999	INTERNATIONAL				
	WO 99/19994	7/27/1995	INTERNATIONAL				
	<del>0 403 300</del>	<del>12/19/1990</del>	<del>EUROPE</del>				
	WO 98/05689	2/12/1998	INTERNATIONAL				

NAGATA Y. ET AL.: pH-Responsive Guest Binding of Polypeptide Containing a Cyclodextrin at the Terminal", BULL. CHEM. SOC. JPN. ol. 67, 1994, pages 495-499, XP0001161089, page 495, colonne de droite, ligne 23 - ligne 41

NOBUYOSHI AOKI ET AL., "Gas chromatographic-mass spectrometric study of reactions of halodeoxycellulose with thiols in aqueous solutions", CARBOHYDRATE POLYMERS, vol 27, 1995 pages 13-21, XP004034456, GREAT BRITAIN, page 15, colonne de gauche, ligne 15 - ligne 17PA

JUAN J. GARCIA-LOPEZ ET AL., "Synthesis of cluster N-Glycosides based on a beta-cyclodextrin core", CHEM. EUR. JOURNAL, vol. 5, no. 6, 1999, pages 1775-1784, XP00828759, WEINHEIM, page 1775, colonne de droite, dernier alinea page 1778

/Layla Bland/

06/03/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

\* English language abstract provided for the Examiner's convenience

VF

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /L.B./

-Y&T-September 28, 2005